



GAU 2176  
#5  
13T  
12-04-01

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/810,515
	Filing Date	03/15/2001
	First Named Inventor	ZHOU et al.
	Group Art Unit	2176
	Examiner Name	
Total Number of Pages in This Submission	13	Attorney Docket Number 85160.925/T00070

RECEIVED

AUG 27 2001

Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO/SB/08; Copy of cited references; Return postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Hecker, Esq. THE HECKER LAW GROUP
Signature	
Date	Aug. 21, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>Aug. 21, 2001</u>	
Typed or printed name	Nancy V. McElrath
Signature	
Date	8-21-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



85160.925/T00070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	)	<b>RECEIVED</b> AUG 27 2001
ZHOU et al.	)	
Serial No: 09/810,515	)	
Filed: March 15, 2001	)	
For: METHOD AND APPARATUS	)	
FOR GENERATING	)	Examiner: Unassigned Technology Center 2100
CONFIGURABLE	)	
DOCUMENTS	)	Art Unit: 2176

---

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Box IDS  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56 and § 1.98, Applicant hereby submits the following art in connection with the above-referenced patent application for "METHOD AND APPARATUS FOR GENERATING CONFIGURABLE DOCUMENTS."

<u>U.S. Patent No.</u>	<u>Inventor(s)</u>	<u>Issue Date</u>
5,369,732	Lynch et al.	11-29-1994
5,515,524	Lynch et al.	05-07-1996
5,708,798	Lynch et al.	01-13-1998

5,825,651	Gupta et al.	10-20-1998
5,878,400	Carter, III	03-02-1999
6,002,854	Lynch et al.	12-14-1999
6,157,922	Vaughan	12-05-2000

<u>U.S. Appl. No.</u>	<u>Inventor(s)</u>	<u>Filing Date</u>
08/780,600	Shah et al.	01-08-1997
08/931,878	Gupta	09-17-1997
09/081,857	Koppelman et al.	05-19-1998
09/163,752	Waugh et al.	09-30-1998
09/165,656	Gupta	10-03-1998
09/253,427	Carter, III	02-19-1999
09/413,963	Lynch et al.	03-10-1997
09/730,481	Vaughan	12-04-2000
09/809,991	Chao et al.	03-15-2001
09/810,012	Chao et al.	03-15-2001
09/810,514	Chao et al.	03-15-2001
09/810,519	Zhou et al.	03-15-2001
09/896,140	Gharavy	06-29-2001
09/896,144	Gharavy	06-29-2001

Publications:

1. NORMAN WALSH, XSL The Extensible Style Language [online]. Web Techniques, January 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <http://www.webtechniques.com/archives/1990/01/walsh/>>.
2. SELENA SOL, What is a Markup Language [online]. Web Developer's Virtual Library, March 8, 1999 [retrieved on 2001-04-17]. Retrieved from the Internet: <URL: [http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what\\_is\\_markup\\_language](http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what_is_markup_language)>.
3. SELENA SOL, What is XML [online]. Web Developer's Virtual Library, March 8, 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: [http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what\\_is\\_xml.html](http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what_is_xml.html)>.
4. SELENA SOL, The Well-Formed Document [online]. Web Developer's Virtual Library, March 29, 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: [http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/well\\_formed\\_doc.html](http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/well_formed_doc.html)>.
5. SELENA SOL, Introducing the Valid XML Document and the DTD [online]. Web Developer's Virtual Library, May 3, 1999 [retrieved on

2001-02-11]. Retrieved from the Internet: <URL:

[http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/dtd\\_intro.html](http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/dtd_intro.html)>.

6. XSLT, Xpath and XSL Formatting Objects [online]. Web Developer's Virtual Library [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <http://wdvl.internet.com/Authoring/Languages/XSL/>>.
7. ADAM RIFKIN, A Look at XML [online], [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: [http://www.webdeveloper.com/xml/xml\\_a\\_look\\_at\\_xml.html](http://www.webdeveloper.com/xml/xml_a_look_at_xml.html)>.
8. A Flexible Commission System to Improve Your Agency Relationships, CSC: Financial Services – S3+ Contracts and Commissions [online], [retrieved on 2001-08-15]. Retrieved from the Internet: <URL: [http://www.csc-fs.com/MARKETS/detail/pc\\_s3contracts.asp](http://www.csc-fs.com/MARKETS/detail/pc_s3contracts.asp)>.
9. PolicyLink Commission System, CSC: Financial Services [online], [retrieved on 2001-08-15]. Retrieved from the Internet: <URL: [http://www.csc-fs.com/MARKETS/detail/la\\_policylinkcomm.asp](http://www.csc-fs.com/MARKETS/detail/la_policylinkcomm.asp)>.
10. Pictorial, Inc. – Insurance and Financial Services Training [online], Dec. 2, 2000 [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.pictorial.com/>>.

11. What is AppointPAK? [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.bisys-licensing.com/aptpak/whatis.htm>>.
12. AppointPAK Features & Benefits [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.bisys-licensing.com/aptpak/features.htm#buried>>.
13. What is e-PAL? [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.bisys-licensing.com/palvt/whatis.html>>.
14. e-PAL Features & Benefits [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.bisys-licensing.com/palvt/features.html#source>>.
15. Nine e-PAL Services, Features and Benefits [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.bisys-licensing.com/palvt/serv.html#adjust>>.
16. HRMS Enterprise Applications, Human Resources Management, PeopleSoft, Inc. [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <http://www.peoplesoft.com/en/us/products/applications/hrm/HRMS/hrmsea/index.html>>.
17. HRMS Collaborative Applications, Human Resources Management, PeopleSoft, Inc. [online], [retrieved on 2001-08-16]. Retrieved from

the Internet: <URL:

<http://www.peoplesoft.com/en/us/products/applications/hrm/HRMS/hrmsca/index.html>>.

18. Financial Planning Made Easy, American Express, Financial Services [online], [retrieved on 2001-08-16]. Retrieved from the Internet:

<URL:

<http://finance.americanexpress.com/sif/cda/page/0,1641,4588,00.asp>>.

19. Advice and Planning, American Express, Financial Services [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL:

<http://finance.americanexpress.com/sif/cda/page/0,1641,7569,00.asp>>.

20. Why ContractMaker, And How Does It Work?, Legal Contracts, Digital Contracts, Inc., [online], [retrieved on 2001-08-08]. Retrieved from the Internet: <URL:

<http://www.digicontracts.com/info/whyhow.html>>.

21. Frequently Asked Questions, Digital Contracts, Inc., [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL:

<http://www.digicontracts.com/kits/faqs.html>>.

Applicant requests that these references be included with the references cited in this patent application and that they be printed in any patent that may issue from this patent application.

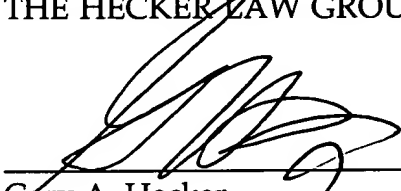
Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is required. A copy of each of the references is enclosed pursuant to 37 C.F.R. § 1.98(a).

The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to The Hecker Law Group, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP

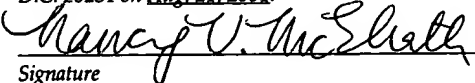
Date: Aug. 21, 2001

  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

THE HECKER LAW GROUP  
1925 Century Park East  
Suite 2300  
Los Angeles, CA 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

*This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Box IDS, Commissioner for Patents, Washington, D.C. 20231 on Aug. 21, 2001.*

 8-21-01  
\_\_\_\_\_  
Signature Date



Please type a plus sign (+) inside this box →



PTO/SB/08 (10-96)

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets if necessary)				Application Number	09/810,515
				Filing Date	03/15/2001
				First Named Inventor	ZHOU et al.
				Group Art Unit	2176
				Examiner Name	
Sheet	1	of	5	Attorney Docket Number	85160.925/T00070

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If Known)			
		5,369,732		Lynch et al.	11-29-1194	
		5,515,524		Lynch et al.	05-07-1996	
		5,708,798		Lynch et al.	01-13-1998	
		5,825,651		Gupta et al.	10-20-1998	
		5,878,400		Carter, III	03-02-1999	
		6,002,854		Lynch et al.	12-14-1999	
		6,157,922		Vaughan	12-05-2000	
		08/780,600		Shah et al.		
		08/931,878		Gupta		
		09/081,857		Koppelman et al.		
		09/163,752		Waugh et al.		
		09/165,656		Gupta		
		09/253,427		Carter, III		
		09/413,963		Lynch et al.		
		09/730,481		Vaughan		
		09/809,991		Chao et al.		
		09/810,012		Chao et al.		
		09/810,514		Chao et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (If Known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08 (10-96)

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets if necessary)				Application Number	09/810,515
				Filing Date	03/15/2001
				First Named Inventor	ZHOU et al.
				Group Art Unit	2176
				Examiner Name	
Sheet	2	of	5	Attorney Docket Number	85160.925/T00070

U.S. PATENT DOCUMENTS						
U.S. Patent Document						
Examiner Initials	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (If Known)	Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		09/810,519		Zhou et al.		
		09/896,140		Gharavy		
		09/896,144		Gharavy		

FOREIGN PATENT DOCUMENTS							
Foreign Patent Document							
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (If Known)	Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		NORMAN WALSH, XSL The Extensible Style Language [online]. Web Techniques, January 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <a href="http://www.webtechniques.com/archives/1990/01/walsh/">http://www.webtechniques.com/archives/1990/01/walsh/</a> >	
		SELENA SOL, What is a Markup Language [online]. Web Developer's Virtual Library, March 8, 1999 [retrieved on 2001-04-17]. Retrieved from the Internet: <URL: <a href="http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what_is_markup_language">http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what_is_markup_language</a> >	
		SELENA SOL, What is XML [online]. Web Developer's Virtual Library, March 8, 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <a href="http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what_is_xml.html">http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/what_is_xml.html</a> >	
		SELENA SOL, The Well-Formed Document [online]. Web Developer's Virtual Library, March 29, 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <a href="http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/well_formed_doc.html">http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/well_formed_doc.html</a> >	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

<sup>1</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08 (10-96)

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets if necessary)				Application Number	09/810,515
				Filing Date	03/15/2001
				First Named Inventor	ZHOU et al.
				Group Art Unit	2176
				Examiner Name	
Sheet	3	of	5	Attorney Docket Number	85160.925/T00070

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If Known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (If Known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		SELENA SOL, Introducing the Valid XML Document and the DTD [online]. Web Developer's Virtual Library, May 3, 1999 [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <a href="http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/dtd_intro.html">http://wdvl.internet.com/Authoring/Languages/XML/Tutorials/Intro/dtd_intro.html</a> >	
		XSLT, Xpath and XSL Formatting Objects [online]. Web Developer's Virtual Library [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <a href="http://wdvl.internet.com/Authoring/Languages/XSL/">http://wdvl.internet.com/Authoring/Languages/XSL/</a> >	
		ADAM RIFKIN, A Look at XML [online], [retrieved on 2001-02-11]. Retrieved from the Internet: <URL: <a href="http://www.webdeveloper.com/xml/xml_a_look_at_xml.html">http://www.webdeveloper.com/xml/xml_a_look_at_xml.html</a> >	
		A Flexible Commission System to Improve Your Agency Relationships, CSC: Financial Services – S3+ Contracts and Commissions [online], [retrieved on 2001-08-15]. Retrieved from the Internet: <URL: <a href="http://www.csc-fs.com/MARKETS/detail/pc_s3contracts.asp">http://www.csc-fs.com/MARKETS/detail/pc_s3contracts.asp</a> >	
		PolicyLink Commission System, CSC: Financial Services [online], [retrieved on 2001-08-15]. Retrieved from the Internet: <URL: <a href="http://www.csc-fs.com/MARKETS/detail/la_policylinkcomm.asp">http://www.csc-fs.com/MARKETS/detail/la_policylinkcomm.asp</a> >	
		Pictorial, Inc. – Insurance and Financial Services Training [online], Dec. 2, 2000 [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.pictorial.com/">http://www.pictorial.com/</a> >	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08 (10-96)

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets if necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/810,515
				Filing Date	03/15/2001
				First Named Inventor	ZHOU et al.
				Group Art Unit	2176
				Examiner Name	
Sheet	4	of	5	Attorney Docket Number	85160.925/T00070

U.S. PATENT DOCUMENTS						
U.S. Patent Document						
Examiner Initials	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (If Known)	Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Foreign Patent Document							
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (If Known)	Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		What is AppointPAK? [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.bisys-licensing.com/aptpak/whatis.htm">http://www.bisys-licensing.com/aptpak/whatis.htm</a> >	
		AppointPAK Features & Benefits [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.bisys-licensing.com/aptpak/features.htm#buried">http://www.bisys-licensing.com/aptpak/features.htm#buried</a> >	
		What is e-PAL? [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.bisys-licensing.com/palvt/whatis.html">http://www.bisys-licensing.com/palvt/whatis.html</a> >	
		e-PAL Features & Benefits [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.bisys-licensing.com/palvt/features.htm#source">http://www.bisys-licensing.com/palvt/features.htm#source</a> >	
		Nine e-PAL Services, Features and Benefits [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.bisys-licensing.com/palvt/serv.html#adjust">http://www.bisys-licensing.com/palvt/serv.html#adjust</a> >	
		HRMS Enterprise Applications, Human Resources Management, PeopleSoft, Inc. [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.peoplesoft.com/en/us/products/applications/hrm/HRMS/hrmseal/index.html">http://www.peoplesoft.com/en/us/products/applications/hrm/HRMS/hrmseal/index.html</a> >	
		HRMS Collaborative Applications, Human Resources Management, PeopleSoft, Inc. [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.peoplesoft.com/en/us/products/applications/hrm/HRMS/hrmsca/index.html">http://www.peoplesoft.com/en/us/products/applications/hrm/HRMS/hrmsca/index.html</a> >	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Please type a plus sign (+) inside this box →



PTO/SB/08 (10-96)

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets if necessary)</i></p>				<p><i>Complete if Known</i></p>													
Sheet		5	of	5	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/810,515</td> </tr> <tr> <td>Filing Date</td> <td>03/15/2001</td> </tr> <tr> <td>First Named Inventor</td> <td>ZHOU et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>2176</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>85160.925/T00070</td> </tr> </table>	Application Number	09/810,515	Filing Date	03/15/2001	First Named Inventor	ZHOU et al.	Group Art Unit	2176	Examiner Name		Attorney Docket Number	85160.925/T00070
Application Number	09/810,515																
Filing Date	03/15/2001																
First Named Inventor	ZHOU et al.																
Group Art Unit	2176																
Examiner Name																	
Attorney Docket Number	85160.925/T00070																

U.S. PATENT DOCUMENTS						
U.S. Patent Document						
Examiner Initials	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (If Known)	Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Foreign Patent Document							
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number	Kind Code <sup>4</sup> (If Known)	Name Of Patentee Or Applicant Of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Financial Planning Made Easy, American Express, Financial Services [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://finance.americanexpress.com/sif/cda/page/0,1641,4588,00.asp">http://finance.americanexpress.com/sif/cda/page/0,1641,4588,00.asp</a> >	
		Advice and Planning, American Express, Financial Services [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://finance.americanexpress.com/sif/cda/page/0,1641,7569,00.asp">http://finance.americanexpress.com/sif/cda/page/0,1641,7569,00.asp</a> >	
		Why ContractMaker, And How Does It Work?, Legal Contracts, Digital Contracts, Inc., [online], [retrieved on 2001-08-08]. Retrieved from the Internet: <URL: <a href="http://www.digicontracts.com/info/whyhow.html">http://www.digicontracts.com/info/whyhow.html</a> >	
		Frequently Asked Questions, Digital Contracts, Inc., [online], [retrieved on 2001-08-16]. Retrieved from the Internet: <URL: <a href="http://www.digicontracts.com/kits/faqs.html">http://www.digicontracts.com/kits/faqs.html</a> >	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>1</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.